Circular no.: MCX/INSP/264/2016 September 02, 2016

Maintenance and Preservation of Records

SEBI has issued circular no. SEBI/HO/CDMRD/DMP/CIR/P/2016/74 dated August 30, 2016 regarding Maintenance and Preservation of Records for Commodity Derivatives Exchanges and their members.

As per the circular, pursuant to the merger of erstwhile FMC with SEBI, all commodity derivatives exchanges and their members are required to comply with the provisions of Securities Contract (Regulation) Rules, 1957 (hereinafter referred to as SCRR) and SEBI (Stock-Brokers and Sub-Brokers) Regulations, 1992 (hereinafter referred to as Broker Regulations).

Further, all members are required to maintain and preserve the specified books of accounts and other records in terms of Rule 14 and 15 of SCRR, regulation 18 of Broker Regulations and as per the SEBI circular No. MRD/DoP/SE/Cir-21/2009 dated December 09, 2009.

The provisions of this circular shall come in force from September 29, 2016.

All members are requested to take note of the SEBI circular enclosed herewith and ensure compliance of the same.

C N Upadhyay
Vice President
(Inspection & Audit)

Encl: As above

Kindly contact Inspection & Audit Team on 022-66494150 or send an email at inspection@mcxindia.com for further clarification.

---------- Corporate office ----------
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